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MAIL STOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Express Mail No.: EV 124826181 US
Attorney Docket No.: 2004345-0021
Date Filed: December 2, 2003

CERTIFICATE OF EXPRESS MAILING

"Express Mail" mailing label number EV 124826181 US

Date of Deposit: December 2, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as "***Express Mail Post Office to Address***" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450


KATHY HART GAGNON

UTILITY PATENT APPLICATION TRANSMITTAL

(for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

Inventor(s):

Given Name (first and middle)	Family Name or Surname	Residence
Marcus	BALLINGER	918 Chula Vista Avenue, #3 Burlingame, CA 94010

**Title of the Invention: CONSTRUCTS FOR HOMOGENOUSLY PROCESSED PREPARATIONS
OF BETA SITE APP-CLEAVING ENZYME**

A) APPLICATION ELEMENTS:

1) Fee Transmittal Form (original and duplicate submitted for fee processing)

2) X **Applicant Claims Small Entity Status** (see 37 CFR §1.27)

a) _____ Statement Verifying Small Entity Status

3) X **Specification**

TOTAL PAGES: 34

(including **29** pp. description, **4** pp. claims, **1** pg. abstract

- Descriptive Title of the Invention
- Priority Claim
- Field of the invention**
- Background
- Summary of the Invention
- Brief Description of the Drawing
- Description of the Certain Preferred Embodiments of the Invention
- Examples
- Claim(s) (**4** pp.)
- Abstract of the Invention (**1** pg.).

4) X **Drawing(s)** (35 U.S.C. § 113)

TOTAL SHEETS: 1

a) X Formal Drawings (if checked)

5) _____ **Oath or Declaration**

TOTAL PAGES: _____

a) _____ Newly Executed (original)

b) _____ Copy from a prior application (37 C.F.R. § 1.63(d))-for
continuation/divisional application

c) _____ Unexecuted

6) _____ **Application Data Sheet.** See 37 CFR 1.76.

7) _____ **CD-ROM or CD-R in duplicate, large table or Computer Program
(Appendix)**

8) _____ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all are
necessary)

a) _____ Computer Readable Form (CRF)

b) _____ Specification Sequence Listing on:

i) _____ CD-ROM or CR-R (2 copies); or

ii) _____ Paper (_____ pages)

- c) ☐ Statements verifying identity of above copies
d) ☐ Amendment Introducing Sequence Listing

B) ACCOMPANYING APPLICATION PARTS:

- 9) ☐ **Assignment Papers**
10) ☐ **37 C.F.R. § 3.73(b) Statement**
11) ☐ **Power of Attorney;**
12) ☐ **English Translation Document** (if applicable)
13) ☐ **Information Disclosure Statement (IDS)/PTO-1449**
14 ☐ **Statement Under 37 C.F.R. §§ 1.56, 1.97 and 1.98**
15) ☐ **Copies of IDS Citations**
16) ☒ **Return Receipt Postcard (MPEP 503)** (specifically itemized)
17) ☐ **Certified Copy of Priority Document(s)** (if foreign priority is claimed)
18) ☒ **OTHER:** (if applicable, specified below)

C) FOR CONTINUING APPLICATIONS: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

☐ CONTINUATION ☐ DIVISIONAL ☐ CONTINUATION-IN-PART (CIP)

OF PRIOR APPLICATION NO:	
FILED:	
EXAMINER:	
GROUP/ART UNIT:	

FOR CONTINUATION OR DIVISIONAL APPLICATIONS ONLY: THE ENTIRE DISCLOSURE OF THE PRIOR APPLICATION, FROM WHICH AN OATH OR DECLARATION IS SUPPLIED AS DETAILED ABOVE, IS CONSIDERED A PART OF THE DISCLOSURE OF THE ACCOMPANYING CONTINUATION OR DIVISIONAL APPLICATION AND IS HEREBY INCORPORATED BY REFERENCE.

D.) PRIORITY CLAIM(S):

This application claims the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below:

Application Number	Filing Date	Status
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PCT Applications designating the United States:

Application Number	Filing Date	Status
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This application claims the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

Provisional Application(s):

60/430,984	December 4, 2002	Pending
Application Number	Filing Date	Status

E) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

X Applicant claims small entity status 37 CFR §1.27

X A check in the amount of \$ 428.00

X The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number 03-1721.

Basic Filing Fee (<u>SMALL</u> Entity)	\$385.00
Additional Fees:	
Total Number of Claims in excess of 20: (20- 20)= <u>0</u> x \$ <u>19</u>	\$0.00
Number of Independent Claims in excess of 3:(<u>1</u>) x \$ <u>43</u>	\$43.00
Multiple Dependent Claims – NO	<u>\$0.00</u>
Total Filing Fee:	\$428.00

F) CORRESPONDENCE ADDRESS:

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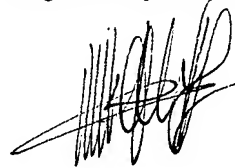
G) GOVERNMENT SUPPORT:

THE INVENTION WAS MADE BY AN AGENCY OF THE UNITED STATES GOVERNMENT OR UNDER A CONTRACT WITH AN AGENCY OF THE UNITED STATES GOVERNMENT:

X NO

____ YES, THE NAME OF THE U.S. GOVERNMENT AGENCY AND THE GOVERNMENT CONTRACT NUMBER ARE : _____

Respectfully Submitted,



Dated: December 2, 2003

Nadège M. Lagneau, Ph.D.
Reg. No. 51,908

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